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Trends in Querying and Reporting to the National Practitioner Data Bank and Healthcare Integrity Protection Data Bank

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Bureau of Health Professions/Division of Practitioner Data Banks



Presenter Disclosures

Colleen S. Choi, Ph.D.

The following personal financial relationships with
commercial interests relevant to this presentation existed
during the past 12 months:

No relationship to disclose



HRSA's Bureau of Health Professions





Bureau of Health Professions (BHP) Mission



Increase the population's access to health care by providing national leadership in the development, distribution, and retention of a diverse, culturally competent health workforce that can adapt to the population's changing health care needs and provide the highest quality of care for all.



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Division of Practitioner Data Banks (DPDB)



The Division of Practitioner Data Banks (DPDB), part of the Bureau of Health Professions, is committed to the development and operation of cost-effective and efficient systems that offer accurate, reliable, and timely information on practitioners, providers, and suppliers to credentialing, privileging, and government authorities.

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Public Health and DPDB





- Instituting and maintaining healthy communities are directly linked to well-grounded public health infrastructure
- Well-grounded public health infrastructure is highly dependent on a competent health professions workforce (i.e., composed of healthcare practitioners)
- DPDB has a pivotal role in contributing to the quality of the health professions and promoting healthy communities
 - By providing information about adverse action and malpractice reports filed on healthcare practitioners
 - By helping identify healthcare practitioners charged with rendering poor quality services

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 **DPDB and Quality Healthcare Services** 



- DPDB houses information about reported practitioners in two data banks:
 - National Practitioner Data Bank (NPDB)
 - Healthcare Integrity and Protection Data Bank (HIPDB)

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The National Practitioner Data Bank (NPDB)

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 **NPDB: Law and Regulations** 

- Established through Title IV of Public Law 99-660, the *Health Care Quality Improvement Act of 1986 (HCQIA)*, as amended
- Part A – Promotion of Professional Review Activities
 - Established immunity provisions
 - Developed through case law, not Federal regulations
- Part B – Reporting of Information
 - Established the NPDB

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NPDB: Law and Regulations (Continued)



The law's intent is to restrict the ability of incompetent physicians, dentists, and other health care practitioners to move from State to State without disclosure of previous medical malpractice payment and adverse action history.

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NPDB Reporting



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Who Must Report to the NPDB?



- Malpractice insurers and self-insured organizations
- State licensing boards for all health care practitioners and entities
- Hospitals, managed care organizations, other health care entities with formal professional review process

** Health care entity provides health care services and follows a formal professional review process to further quality health care.*

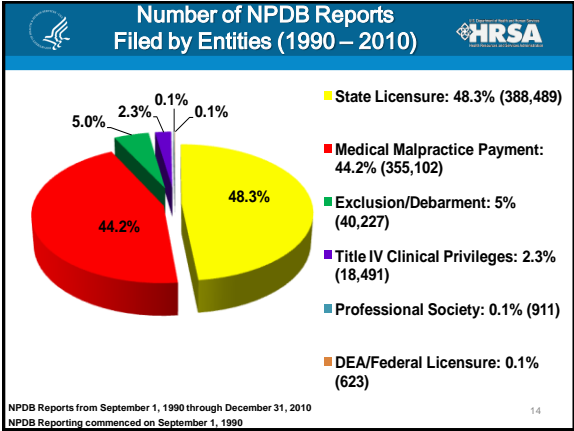
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Who Must Report to the NPDB?
(Continued)

HRSA

- Professional societies and memberships with formal professional review process
- Peer review organizations
 - Excludes Quality Improvement Organizations
- Private accreditation organizations
 - e.g., Joint Commission, URAC (formerly known as the Utilization Review Accreditation Commission), National Council for Quality Assurance (NCQA)
- Drug Enforcement Administration and HHS Office of Inspector General
 - * Based on Memorandum of Understanding with HHS

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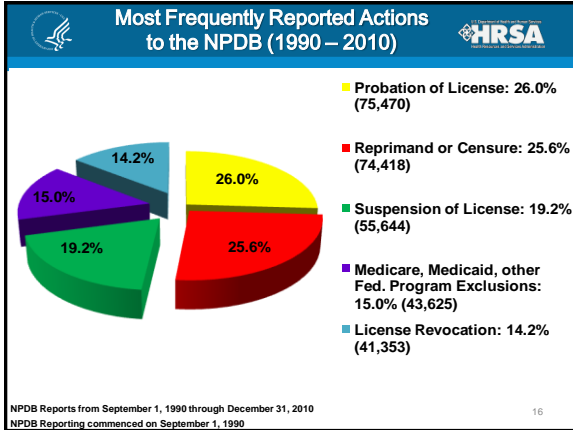


What is Reported to the NPDB?

HRSA

1. Medical malpractice payments
2. Adverse clinical privilege actions taken in the course of professional review activity
3. State licensure actions taken against all health care practitioners and entities
4. Negative actions or findings by peer review organizations and private accreditation organizations
5. Medicare/Medicaid exclusions
6. Adverse actions taken by DEA that affect Controlled Drug Registrations of practitioners

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NPDB Reports By Practitioner

Physicians	376,303
Professional Nurses	131,421
Para-Professional Nurses	124,764
Dentist	66,819
Pharmacists and Assistants	28,017
Chiropractors	15,725
Podiatrists/Assistants	9,993
Counselors/Marriage/Family Therapist	7,270
Physical Therapists and Assistants	5,386
Social Workers	4,894
Psychologists/Assistants/Associates	4,836
Physician Assistants	4,244
Emergency Medical Technicians (EMT)	4,137
Respiratory Therapists/Technologist	3,987
Other Rehab/Restorative Service Practitioners	3,035
Other Technologists/Techs	2,513
Dental Assistants/Hygienists	2,424

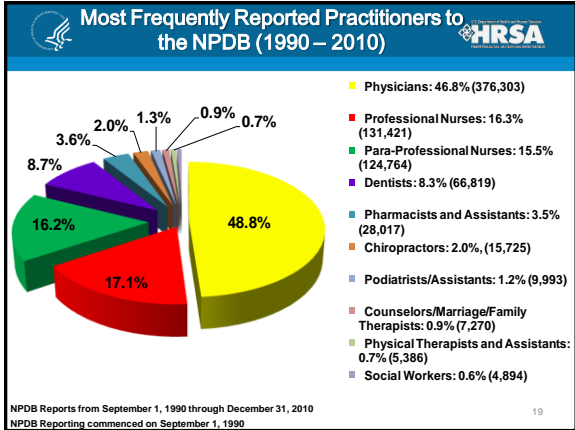
Data from September 1, 1990 through December 31, 2010

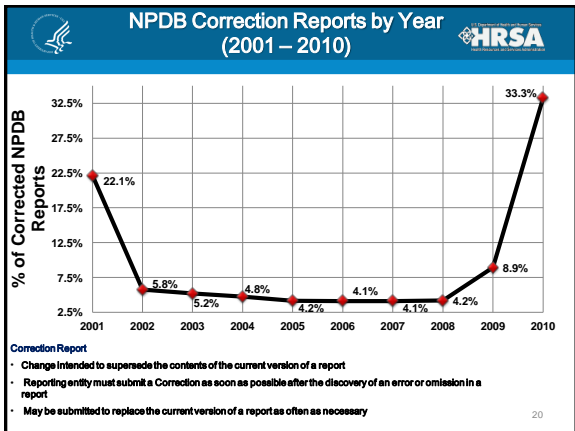
NPDB Reports By Practitioner (Continued)

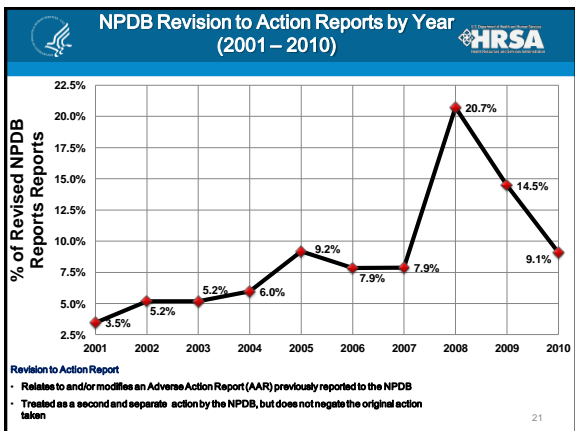
Optometrists	2,386
Occupational Therapists/Assistants	1,355
Speech/Language Pathologists/Audiologists	1,011
Assistant Devices Service Practitioners	869
Complimentary Medicine Practitioners	671
Organization	553
Other Health Care Practitioners	496
Unspecified or Unknown Individual*	407
Dieticians/Nutritionists	143
Medical Assistants	68
Health Care Facility Administrators	65
Other Health Care Occupation	46
Non-Health Care Occupation	3
Researcher, Clinical	2
Total	803,843

*Reporting entity did not identify occupation/field of State Licensure Code

Data from September 1, 1990 through December 31, 2010









Querying the NPDB





Who Must Query the NPDB?

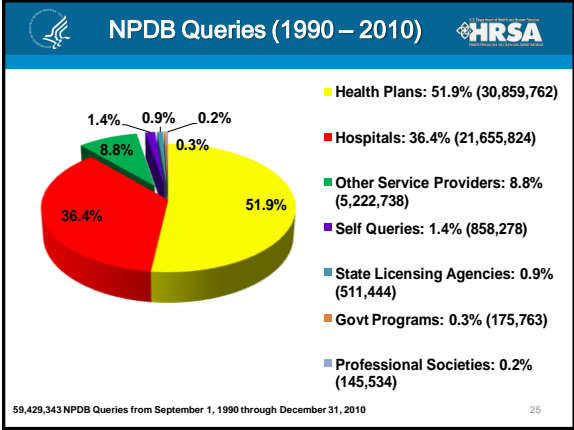
• Hospitals Must Query by Law:

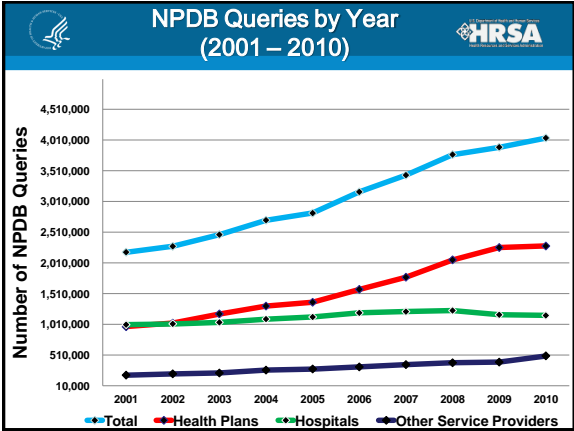
- When physicians, dentists, and other health care practitioners apply for staff appointments (courtesy or otherwise) or for clinical privileges; and
- Every 2 years on all physicians, dentists, and other health care practitioners who hold clinical privileges at the hospital.



Who May also Query the NPDB?

- State Licensing Boards
- Other health care entities with a formal peer review process
- Professional Societies with a formal peer review process
- Health Care Providers (self-query only)
- Researchers (non-identifying data only)
- Agencies in State and Health Care Programs
- Quality Improvement Organizations (QIOs)
- Law Enforcement Agencies





Healthcare Integrity and Protection Data Bank (HIPDB)



- Established under Section 1128E of the *Social Security Act* as added by Section 221(a) of the *Health Insurance Portability and Accountability Act of 1996* (HIPAA).
- Final regulations governing the HIPDB are codified at 45 CFR Part 61.



The law's intent is to deter fraud and abuse in the health care system, and to promote quality health care by collecting and disseminating final adverse actions taken against health care practitioners, providers, and suppliers.



HIPDB Reporting





Who Must Report to the HIPDB?

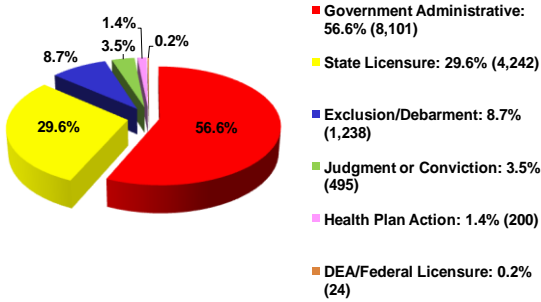


- **Federal and State Agencies**
- **Health Plans**
 - Any plan, program, or organization that provides health care benefits, whether directly or through insurance, reimbursement, or otherwise that takes a reportable panel membership action

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Number of HIPDB Reports Filed by Entities (1996 – 2010)



HIPDB Reports from August 21, 2009 through December 31, 2010

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What is Reported to the HIPDB?



- **Health care-related criminal convictions**
- **Health care-related civil judgments**
- **Exclusions from Federal or State health care programs**
- **Federal and State licensure and certification actions**
- **Other adjudicated actions or decisions**

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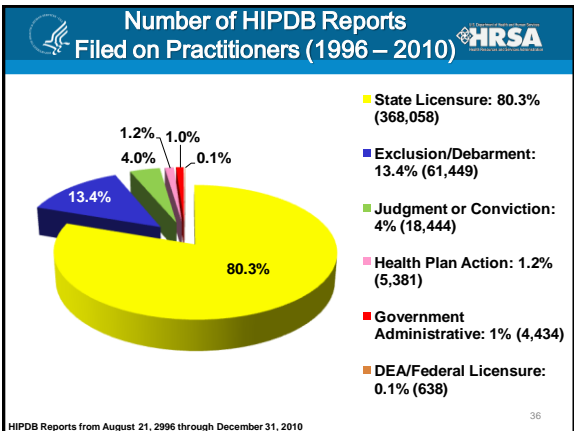
HIPDB Reports By Practitioner	
Para-Professional Nurses	138,902
Professional Nurses	126,186
Physicians (MDs/DOs)	66,521
Pharmacists and Assistants	27,389
Dentists	18,357
Organization	14,300
Chiropractors	11,284
Non-Health Care Occupations	7,946
Counselors/Marriage/Family Therapists	7,389
Unspecified or Unknown Individuals*	5,843
Social Workers	4,933
Emergency Medical Technicians (EMT)	4,517
Physical Therapists and Assistants	4,428
Respiratory Therapists/Technologists	4,247
Psychologists/Assistants/Associates	3,927
Other Rehab/Restorative Svc. Practitioners	3,295
Podiatrists and Assistants	2,771

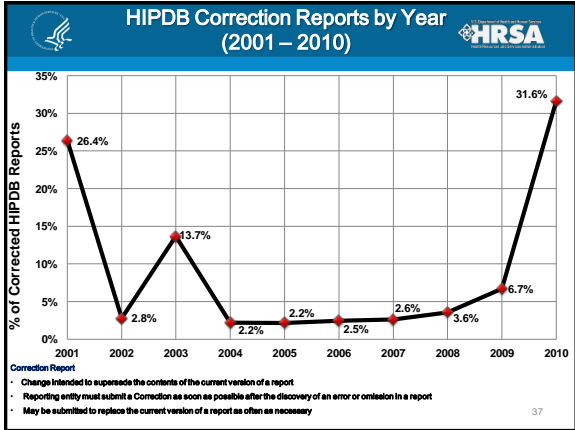
*Reporting entity did not identify occupation/field of State Licensure Code

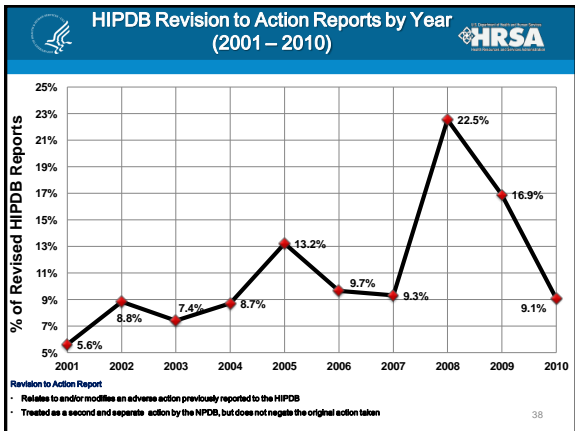
Data from August 21, 1996 through December 31, 2010

HIPDB Reports By Practitioner (Continued)	
Health Care Facility Administrators	2,668
Physicians Assistants	2,636
Other Health Care Occupations	2,542
Other Technologists	2,514
Dental Assistants/Hygienists	2,423
Optometrists	1,840
Occupational Therapists/Assistants	1,337
Other Health Care Practitioners	1,200
Speech/Language Pathologists/Audiologists	1,048
Assistive Devices Service Practitioners	931
Complementary Medicine Practitioners	765
Researchers	318
Dieticians/Nutritionists	145
Medical Assistants	102
Total	472,704


Data from August 21, 1996 through December 31, 2010








Querying the HIPDB

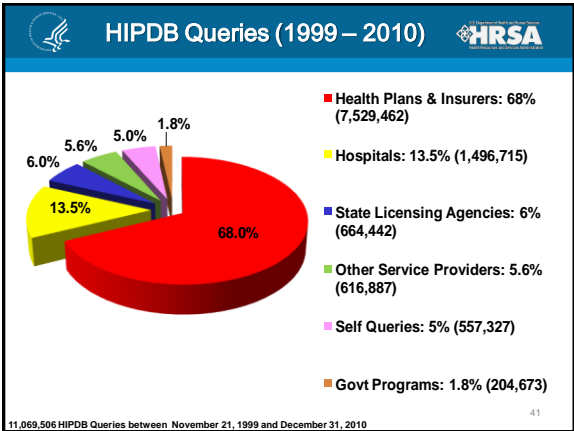


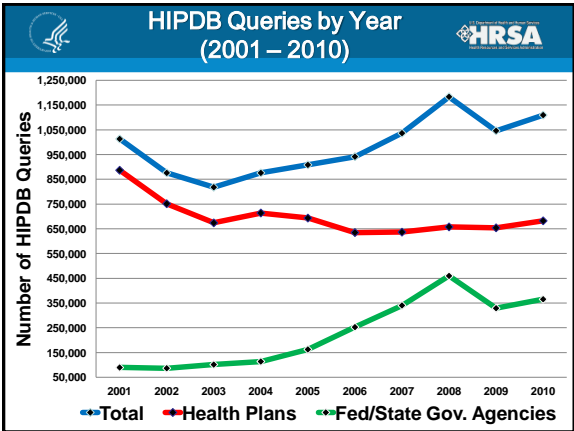
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Who May Query the HIPDB? 

- Federal and State Government Agencies
- Health Plans
- Practitioners, Providers, Suppliers (self-query only)
- Researchers using non-identifying data only

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Reference Information



Web Site - www.npdb-hipdb.hrsa.gov

- NPDB and HIPDB Guidebooks
- Interactive Training
- FAQs, Brochures, and Fact Sheets
- Statistics
- Annual Reports
- Instructions for Reporting and Querying

Customer Service Center

- 1-800-767-6732

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Contact Information



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